Question #1 of 5

Question ID: 1910604

Which fiduciary practice does not create value?

A) Making decisions in the client's best interest.

B) Using models based on multiple objectives.

C) Charging reasonable fees consistent with results.

D) Providing incomplete investment summaries that omit material risks.

Question #2 of 5

Question ID: 1910601

Which of the following best describes the role of sustainable finance?

A) Manage investment risk and return.

B) Educate society on ESG investments.

C) Create value for the investor and society.

D) Allocate capital for long-term growth.

Question #3 of 5

Question ID: 1910605

Which of the following statements correctly describes trust in the manager-client relationship?

A) Technology can build trust and client engagement.

B) A more informed client has less trust in the manager.

C) Trust is especially important during market upturns.

D) A manager's brand does not impact the level of trust.

Question #4 of 5

Question ID: 1910602

Which standard is best described as requiring that conflicts of interest between the client and the investment professional be avoided?

A) Professionalism.

B) Impartiality.

C) Prudence and Care.

D) Loyalty.

Question #5 of 5

Question ID: 1910603

Which of the following should a fiduciary with a professional mindset specifically value?

A) Communication.

B) Credibility.

C) Putting the client first.

D) Culture.