Question #1 of 5

Question ID: 1908179

Ensuring that there are no conflicts of interest between the client and the investment professional best describes the standard of:

A) Impartiality.

B) Loyalty.

C) Professionalism.

D) Prudence and Care.

Question #2 of 5

Question ID: 1815329

The role of sustainable finance is to:

A) create value for the investor and society.

B) allocate capital for long-term growth.

C) manage investment risk and return.

D) educate society on ESG investments.

Question #3 of 5

Question ID: 1908180

A fiduciary with a professional mindset should specifically consider the value of:

A) communication.

B) culture.

C) client first.

D) credibility.

Question #4 of 5

Question ID: 1815333

Which of the following statements about trust in the manager-client relationship is the most accurate?

A) Trust is especially important during market upturns.

B) Technology can build trust and client engagement.

C) A more informed client has less trust in the manager.

D) A manager's brand does not impact the level of trust.

Question #5 of 5

Question ID: 1908181

A fiduciary is least likely to create value when the fiduciary:

A) provides incomplete investment summaries that omit material risks.

B) uses models based on multiple objectives.

C) charges reasonable fees consistent with results.

D) makes decisions in the client's best interest.