

Question 1 of 5

Other than prudence and care, fiduciary obligations include which of the following areas?

- A. loyalty and objectivity
 - B. independence and transparency
 - C. diversification and impartiality
 - D. client first and partnership
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Question 2 of 5

Which of the following is the best definition of sustainability as given in the 1987 Brundtland report?

- A. Balances between the components of social and environmental concerns for present and future generations.
 - B. Addresses necessary changes for how resources are currently developed and used.
 - C. Meets the needs of the present without compromising the ability of future generations to meet their own needs.
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Question 3 of 5

The investment industry currently earns the highest higher value creation score for which of the following?

- A. capital allocation
 - B. stewardship
 - C. wealth and risk management
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Question 4 of 5

Which of the following are the three components of the virtuous circle of positive forces with respect to the fiduciary and professional mindset?

- A. stewardship, asset manager, and portfolio
 - B. portfolio, client, and asset manager
 - C. capital allocation, portfolio, and client
 - D. client, asset manager, and industry
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Question 5 of 5

Which of the following best describes the fundamental purpose of the investment industry?

- A.** to contribute to society via increases in societal wealth and well-being
 - B.** to produce opportunities, and rewards for investment organizations
 - C.** to mobilize the sourcing and allocation of capital to where it is needed
 - D.** to provide wealth and risk management to end savers
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Answer Sheet

Question #	Question ID	Your Response				Flagged
1	C26-L1-1.1.3-001	<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input type="radio"/> D	<input type="checkbox"/>
2	C26-L1-1.1.2-001	<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C		<input type="checkbox"/>
3	C26-L1-1.1.2-002	<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C		<input type="checkbox"/>
4	C26-L1-1.1.4-001	<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input type="radio"/> D	<input type="checkbox"/>
5	C26-L1-1.1.1-001	<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input type="radio"/> D	<input type="checkbox"/>

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